

Message Text

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PAGE 01 STATE 176994
ORIGIN EB-08

INFO OCT-01 NEA-10 ISO-00 SEC-01 /020 R

DRAFTED BY EB/IFD/OMA:EPARSONS
APPROVED BY EB/IFD/OMA:RJRYAN
SEC:MR. BARDFELD
NEA/INS:MS. SPEAR
SEC:MR. BROWN

-----128029 290547Z /21

R 281725Z JUL 77
FM SECSTATE WASHDC
TO AMCONSUL BOMBAY
INFO AMCONSUL CALCUTTA
AMCONSUL MADRAS
AMEMBASSY NEW DELHI

UNCLAS STATE 176994

E.O. 11652: N/A

TAGS: EFIN, IN

SUBJECT: SALE OF FOREIGN SECURITIES IN U.S.

REF: BOMBAY 01710

1. INFORMATION REQUESTED REFTEL AIRPOUCHED TO AMCONSUL BOMBAY.

2. INVESTMENT COMPANIES NOT ORGANIZED IN THE U.S. MAY SELL SECURITIES ONLY WITH SPECIAL PERMISSION OF THE SECURITIES AND EXCHANGE COMMISSION (SEC). THIS MAY BE GRANTED IF THE SEC FINDS THAT BY REASON OF SPECIAL CIRCUMSTANCES OR ARRANGEMENTS IT IS BOTH LEGALLY AND PRACTICALLY FEASIBLE TO ENFORCE THE PROVISIONS OF THE INVESTMENT COMPANY ACT OF 1940 AGAINST THE COMPANY AND THAT IT IS OTHERWISE CONSISTENT WITH THE UNCLASSIFIED

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PAGE 02 STATE 176994

PUBLIC INTEREST AND THE PROTECTION OF INVESTORS. THERE ARE WITH SEC: TWO CANADIAN OPEN-END COMPANIES, AND ONE BRITISH AND ONE SOUTH AFRICAN CLOSED-END COMPANY.

3. THE SEC ADVISES THAT FOREIGN INVESTMENT COMPANIES FIND IT DIFFICULT TO COMPLY WITH THE PROVISIONS OF THE ACT. NEVERTHELESS, SHOULD THE UNIT TRUST OF INDIA WISH TO PROCEED

AFTER REVIEWING THE MATERIAL AIRPOUCHED, THE SEC SUGGESTS
THAT IT CONTACT ARTHUR BROWN, ACTING SPECIAL COUNSEL,

DIVISION OF INVESTMENT MANAGEMENT, SECURITIES AND EXCHANGE
COMMISSION, 500 NORTH CAPITAL STREET, WASHINGTON, D.C.
20549, TELEPHONE (202) 755-0225, WHO WILL ASSIST THEM.

VANCE

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Message Attributes

Automatic Decaptioning: X
Capture Date: 01-Jan-1994 12:00:00 am
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: SALES, SECURITIES, INVESTMENT LAW, FOREIGN INVESTMENTS, INVESTORS
Control Number: n/a
Copy: SINGLE
Sent Date: 28-Jul-1977 12:00:00 am
Decaption Date: 01-Jan-1960 12:00:00 am
Decaption Note:
Disposition Action: n/a
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01-Jan-1960 12:00:00 am
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1977STATE176994
Document Source: CORE
Document Unique ID: 00
Drafter: EPARSONS
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Expiration:
Film Number: D770271-1268
Format: TEL
From: STATE
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1977/newtext/t1977078/aaaaagqv.tel
Line Count: 70
Litigation Code IDs:
Litigation Codes:
Litigation History:
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Message ID: fbef146f-c288-dd11-92da-001cc4696bcc
Office: ORIGIN EB
Original Classification: UNCLASSIFIED
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 2
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Previous Classification: n/a
Previous Handling Restrictions: n/a
Reference: 77 BOMBAY 1710
Retention: 0
Review Action: RELEASED, APPROVED
Review Content Flags:
Review Date: 17-Feb-2005 12:00:00 am
Review Event:
Review Exemptions: n/a
Review Media Identifier:
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 1928901
Secure: OPEN
Status: NATIVE
Subject: SALE OF FOREIGN SECURITIES IN U.S.
TAGS: EFIN, IN, US, SEC
To: BOMBAY
Type: TE
vdkgvwkey: odb://SAS/SAS.dbo.SAS_Docs/fbef146f-c288-dd11-92da-001cc4696bcc
Review Markings:
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